From Amphora to TEU: Journey of a container

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Abstract

This presentation aims at comparing ancient and modern maritime logistics on aspects like stowage on board ships, loading & unloading, exporting & importing, ships & sailing, return cargo. It is found that nodal points for trade existed in the past in the Mediterranean area (Rome, Alexandria and many smaller ones) and that nowadays they are all over our planet (China, Europe, USA). The number of ships sailing the oceans increased by a factor ten and large ship sizes increased from 40-50 m length to 300-400 m, but the number of shipwrecks per year is quite similar. The value of cargo on each ship also increased by a factor ten. Today's fluxes of goods, information and money are much faster. Nevertheless, it is found that today's maritime logistics were already in use more than 2000 years ago, but in ancient times, today's "time is money" was less important than "have a safe trip back home".

1. Introduction

Merchant ships have been sailing the Mediterranean Sea and the Red Sea for 5000 years¹ gradually leading to a 'Mediterranisation' of the economy and today, we have reached a globalised economy extending to the whole planet.

Goods (also called 'commodities') have always been shipped either as lose units or as dry or liquid bulk. Ancient units were amphorae, dolia, barrels, sacks that could be placed on board a ship, on a cart, on a camel or donkey. Until 100 years ago, this cargo, called 'break bulk' had to be loaded on board almost individually. Wooden 'pallets' moved by forklifts were introduced during WW2. They were quickly followed by larger 'containers' made of steel providing better protection and easier transportation as they could be placed on board a ship (sea and river), on a train and on a truck. As a matter of fact, containers opened the way to 'globalisation'^{2, 3}.

Amphorae were used mainly for liquids, and containers are used mainly for dry goods, but exceptions exist for both.

Containers were standardised to optimise storage on land and on board ships and trucks. This aim was rather well achieved in modern times (so far) with a space-time frame of the whole planet over around 50 years, but was not achieved in ancient times with a space-time frame of the Mediterranean area over around 1000 years⁴.

This presentation aims at comparing ancient and modern maritime logistics rather than giving an exhaustive description of containers and we will therefore concentrate on one type of container for each period: the ancient Dressel 1B amphora and the modern TEU container.

After a short presentation of ancient and modern containers, we will try to put ancient and modern logistics side by side on the following aspects:

- Stowage on board,
- Loading & unloading,
- Exporting & importing,
- Ships & sailing,

¹Egyptian rulers have been sailing during the Early Bronze Age (ca 3300-2100 BC), i.a. Pharaoh Khufu-Cheops' port at Wadi el-Jarf importing stones from the Sinaï (ca. 2570 BC), Sneferu (ca. 2600 BC) and Sahure (ca. 2450 BC) sending ships to Byblos for wood and to Puntland for exotic goods.

² Stopford (2003).

³ See short movies of European Harbour Masters' Committee: <u>http://www.harbourmaster.org/ehmc-films-chain.php</u>

⁴ This is fortunate as it enabled experts in 'amphorology' to determine where and when amphorae found in wrecks

were made.

• Return cargo,

We will end this presentation with a few words on the Roman economy and some conclusions.

2. Definitions

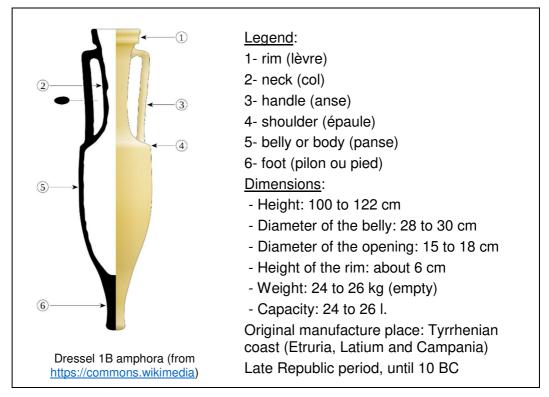
a. Amphorae

Many different types of amphora have been identified, depending on their date and place of production^{5, 6}. The first amphorae were used for transport of wine and date from around 350 BC (so-called 'Greco-Italic' type). Many millions have been produced especially during the Roman Empire.

As a volume, one amphora quadrantal is one Roman cubic foot (nearly one modern cubic foot) = 2/3 artaba = 2 modii castrensis = 3 (Italic) modii = 8 congii = 48 sextarii, or 26 litres. A full amphora (olive oil, wine, fish sauce) weights around 50 kg, out of which around half is tare.

It may be noted that Egyptian grain was transported in sacks of one artaba (39 litres) with a unit weight of around 30 kg.

Note also that wooden oak barrels (500 to 1000 litres) gradually took over from amphorae (and dolia) for storage of wine during the Roman Empire.



b. TEU container

So-called 'containerisation' was introduced in the 1960's and the number of containers transported increased exponentially over the past 50 years and was multiplied by a factor 10 in the last 20 years. It is viewed as a major evolution in transportation of goods. Around 700 million container movements are recorded yearly in the world's ports of over 100 countries involved in seaborne trade⁷.

⁵ University of Southampton (2014) *Roman Amphorae: a digital resource*

⁶ <u>http://www.anticopedie.fr/dossiers/dossiers-gb/amphora.html</u>

⁷ <u>http://donnees.banquemondiale.org/indicateur/IS.SHP.GOOD.TU?end=2014&start=2000&view=chart</u>

The standard intermodal container is designated as twenty feet long (6.1 m) and 8 feet (2.44 m) wide and 'TEU' stands for 'Twenty-foot Equivalent Unit'. Additionally, there is a standard container with the same width but a doubled length of forty feet called a 40-foot container which has found wider acceptance, as it can be pulled by semi-trailer truck. Some containers may reach 48 ft and even 53 ft (in the USA). The height is 8 ft 6 inch (2.59 m). More sizes have been gradually introduced, but they were designed to fit each other when stacking.

As a volume, one TEU is around 33 m^3 and the total weight may not exceed 30 tons, including a tare of around 2 tons⁸.



Twenty-foot equivalent unit (TEU).

3. Stowage on board

Ancient

The Madrague de Giens⁹ shipwreck discovered in 1967 near Giens in France, had an estimated cargo capacity of 8000 Dressel 1B type amhorae for wine. This is a freight of 400 tons for a 40 x 9 m ship with a draught of around 3.5 m. Thousands of smaller ceramics, or other valuable small cargo, were often placed inbetween the amphorae as a secundary cargo.

Modern

One of the largest container ships is the Marco Polo with a capacity of 16 000 TEU, that is a cargo of nearly 200 000 tons for a 396 x 54 m ship¹⁰. It seems that in 2016 the length is not increasing over 400 m, but the width is heading for 60 m to cope with 20 000 TEU on board. This width may become a problem for gantry cranes supposed to load and unload these ships in ports.

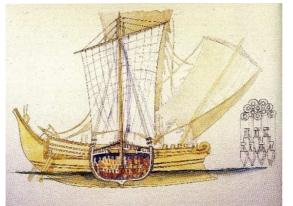


The 16 000 TEU Marco Polo container ship (picture CMA-CGM).

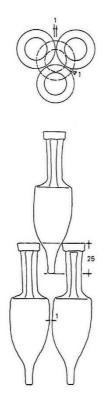
⁸ https://www.cma-cgm.fr/produits-services/conteneurs

⁹ <u>https://en.wikipedia.org/wiki/Madrague_de_Giens_(shipwreck)</u>

¹⁰ <u>http://www.cmacgm-marcopolo.com/</u>



Arrangement of amphorae on board a ship like the Madrague de Giens (drawing JM. Gassend, 2005).



Typical arrangement suggested by P. Pomey (1997) based on his work for the Madrague de Giens.



Reproduction of an amphorae arrangement at Antibes' Musée d'Histoire et d'Archéologie (picture A. de Graauw, 2012). This arrangement with many different types of amphora is obviously not very optimised.

Container ships have a draught of nearly 15 m requiring quay walls of around 20 m height and adequate dredging to keep the required water depth. Bulk carriers (oil, coal, iron ore) may even have a draught over 20 m. Containers are stacked on 15 to 20 tiers or levels, half of them in the hold below deck and half on deck. They must be securely fastened to each other with twist-locks and to the ship's structure with lashing bars.



Twist-lock on a rear corner of a container semi-trailer; the container corner is placed over it and to close the lock, it has to be turned 90° (picture Wikipedia).



Containers connected to each other by twist-locks (picture F. Massard, 2007).



Rack and roping device to illustrate how the cargo might have been kept from shifting, at Bodrum Museum of Underwater Archaeology.

The foot of the amphorae could obviously not be placed directly on the ship's hull as it would perforate it during the trip at sea. Hence, the bottom row of amphorae was placed on some protective layers of straw as shown above. It may also have been sand (perhaps more valuable pozzolana?) used both for protecting the hull from the bottom row of amphorae and for ballasting the ship.



Checking twist-locks and lashing bars (picture F. Massard, 2007).

Although they are securely fastened, containers are sometimes lost at sea during storms. In addition to the loss of cargo, they are a danger for shipping as they often float near the water surface.



Only few accidents happen ... (picture <u>http://www.vessels-</u> in-france.net)

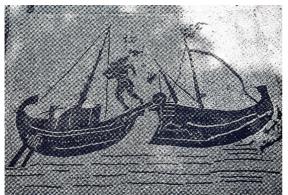
4. Loading and unloading

Ancient

One way of unloading a large seagoing ship that could not enter rivers was to transfer goods onto smaller ships called lighters (*lenunculus or navis caudicaria*) that were rowed or towed up-river.

Modern

Container ship are loaded and unloaded by means of giant gantry cranes that can reach over the whole width of the ship, i.e. they must lift up to 120 tons (4 TEU simultaneously) at a distance of up to 60 m. This means that modern container ships are always moored alongside the quay. This enables loading/unloading by several cranes simultaneously in order to have the ship at berth no more than a few days.



Detail of unloading on a lenunculus at Piazzale delle Corporazioni, Statio 25 (from Pomey, 1997).



Detail of the Torlonia relief showing a ship moored bow first to a mooring ring with a dock-worker (*saccarius*) carrying one amphora and walking on a gangplank from the ship to the quay. Note the linesmen boat just below the mooring line (picture Testaguzza, 1970, on <u>www.ostia-antica.org</u>).

Early Kerkouros ships usually docked stern first, while later ships also docked bow first as shown on the Torlonia relief above. Alongside docking was required if heavy cargo (live animals, barrels) was to be lifted by cranes or derricks.



This relief was found in Portus. Amphorae with wine are being carried from a ship to the quay by dockworkers (*saccarii*). The three civil servants (*tabularii*) take notes. The first porter receives a token of receipt (picture Pavolini, 1986, on <u>www.ostia-antica.org</u>).

Weights, measures and coins were under control of *agoranoms* (in the East) and *aediles* (in the West). *Mensores* and *sacomarii* were legally in charge of measuring and weighting at loading and



Five gantry cranes with lifted arm allowing ship movement. Such a berth can move around 150 TEU per hour (picture https://www.marinetraffic.com).



Large Maersk container ship berthed port-side (picture <u>https://gcaptain.com</u>).

Shipowners have to optimise the loading of their ship in order to have the boxes ready to be unloaded when reaching each port of call during the trip. They also have to take into account the weight of the boxes and the distribution of loads on board, and many other constraints. This job is not conducted by the captain of the ship any more, but by the company's headquarters using sophisticated computer programmes for this task.

The same holds for the giant areas for container storage where each container is registered in x,y,z position with help of computers.



Fully automated container park at the London Gateway (picture <u>http://www.kalmar.fr</u>).

unloading the ships. *Togati* oversaw the validation of the trade procedure, including weighing and measuring.



Grain measure at Aula dei Mensores in Ostia. On the left, a porter brings in a sack of grain; the small man is counting the number of sacks, up to 9 to fill the grain measure placed in the centre of the picture; he gives a token to the porter for each sack delivered; the *mensor*, holding a measuring rod, is in the centre of the picture (from <u>www.ostia-antica.org</u>). According to Arnaud (2015) the man with a *toga* behind the grain measure might be a *togati* and the man on the right the *navicularius*.

Following measurement, the goods were stored in warehouses (*horrea*).



Portus Trajanus with nearly 300 berths on 2 km of quay, very few cranes and a vast storage area (*horrea*) (picture Google Earth, 2016).

Goods in bulk are stored in silos (grain), in tank farms (oil) or on open stockyards (coal and ore).



Singapore's container terminals total nearly 60 berths on 17 km of quay, over 200 gantry cranes and large areas for container storage (Wikipedia).

5. Exporting and importing

International sea trade is of course a rather complex discipline, but some general aspects can be put forward here in comparison with ancient times.

One constant: fair trade cannot exist without a certain level of trust between the parties, at least at managerial level.

Ancient

International trade was organised by the state for the needs of Rome and of the army (*cura annonae*¹¹), but also by individuals from some cities (*civitas libera*) having friendly ties with other cities¹².

<u>Modern</u>

Contractual documents are meant to specify the object of trade (i.e. the goods), the prices, the conditions of payment, the conditions of termination of the contract in case of unexpected events, and which law shall be applicable in case of a dispute.

¹¹ <u>https://en.wikipedia.org/wiki/Cura_Annonae</u>

¹² Arnaud (2015)

Typical actors in maritime trade were: *Negotiator*: international businessman (broker) involved in wholesale quantities of goods at the gross market (*emporion*). He could act as a charterer of a ship. *Mercator*: local merchant involved at the retail market (*agora*).

Argentarius: banker keeping books (tabulae) and recording contracts which were considered as legal proof by the courts.

Mensarius: public banker appointed by the state.

Stationarius: custom officer in charge of control of goods and of collecting taxes (*ellimenion*).

Gubernator: helmsman/pilot/master¹³ knowing the location of safe shelters and how to handle the ship to enter them. *Magister navis:* supercargo travelling on board the ship and representing the owner of the cargo who empowered him to buy and sell cargo.

Dominus navis: shipowner, who entrusted his ship to a *gubernator.*

Navicularius: the meaning of this word seems to have changed over time (ship owner, ship master, maritime trader) and in space (Italy, Egypt)¹⁴. He was a member of his city's professional guild who could negotiate privileges and shipping prices with the emperor's Annona and therefore belonged to the Roman elite. He could also act as a *negotiator* for his own business.

It seems that certain cities had a local representative at Ostia (*curator navium*) who would assist the supercargo (*magister navis*) upon arrival at the port. These agents were long-term residents, part of a trading diaspora, sometimes enjoying double citizenship. They had their own *statio* where traders could meet and get some services, i.a. to find the way in a highly corrupt administration¹⁵, like at a kind *Insurances* are meant to cover the risk of damage or loss of the goods during their transportation.

Banks use their network to pay the seller in his place of residence with money provided by the buyer in his own place of residence. Banks have no personal opinion on the delivery, they just pay according to the contractual conditions which are taken over into a so-called *Letter of Credit.* This document is put together by intensive use of modern encrypted telecommunications from bank to bank.

A specific document, the *Bill of Lading* (B/L), is prepared by the carrier for the seller upon loading the goods on board his ship; the seller transfers the B/L, and his invoice, to his bank who will send it to the buyer's bank who will pay for the goods so that the buyer can collect the goods from the carrier.

In order to avoid any fraud, *each container is sealed* in presence of the seller after the goods have been loaded. The seals will be broken upon arrival in presence of the buyer.

Several agents may be involved in this process, such as a *charterer* who will look for the right shipping company, *custom agents* who will conduct custom formalities and pay taxes, *shipping agents* will assist the captain with all formalities, etc.

¹³ The helmsman/pilot of a merchant ship may well have been the master. See Luke Acts, 27, 11, where reference is made to the « κυβερνήτῃ » (kyberniti) and the « ναυκλήρῳ » (naukliro) who are the obvious decision-making sailors on board, and usually translated as master for the first and shipowner for the latter (http://www.ellopos.net/elpenor/greek-texts/new-testament/acts/27.asp).

However, Virgil (Aeneïd, 5, 176-177) makes a clear distinction between master and pilot in « *ipse gubernaclo rector subit, ipse magister hortaturque uiros clavumque ad litora torquet.* » (he [Gyas] replaces the pilot, and as a master, he urges his men while steering shoreward, transl. Joseph Farrell, 2014) during the famous race between four navy ships at Drepana-Trapani (Sicily)

^{(&}lt;u>https://books.google.fr/books?id=kCZICgAAQBAJ&lpg=PP1&hl=fr&pg=PA43#v=onepage&q&f=false</u>). This is still the case on modern navy ships where the captain's job is to conduct war more than to steer the ship by himself.

¹⁴ Arnaud (2015)

¹⁵ Arnaud (2015)

of local branch of a Chamber of Commerce of their home-city.

The Muziris Papyrus (ca. 150 AD) is a fragmentary document found in 1985¹⁶. On its verso side, it provides a list of cargo which was reconstructed as follows: 544 ton of pepper, 76 ton of malabathron (cinnamomum tamala leaves), 3 ton of ivory tusks and 0.5 ton of ivory fragments, 2 ton of tortoise shell, and 80 boxes of Gangetic nard (possibly 1 or 2 tons) 17. That is around 625 ton requiring a very large Roman ship (this one was called the Hermapollon). The total value of this cargo reaches a stunning amount of 9.2 million Roman sesterces, which is around 60 million modern Euros¹⁸. Note that the value mentioned in the Muziris Papyrus is based on a Roman customs' evaluation which uses the price level of goods at Rome, and not their purchasing price in India which is probably many times lower (by the way, the import taxes amount 25% of the goods, and are thus independent of the value). Some merchants able to raise this kind of money to buy goods in India and to bear the risk of losing them at sea must definitely have been 'Roman billionaires'¹⁹. But for other merchants, the question of financing and insurance was vital. Most of what we know about these legal aspects is deduced from Demosthenes' speeches and it appears that maritime loans also worked as an insurance ('bottomry'20): if the ship was lost underway, the loan was not repaid, but if the trip was successful, the loan was repaid with an interest rate of 20 to 30% (depending on risks related to the sailing season). These loans were independent of time, but were supposed to have a duration of no more than one year, which was a common duration for a return trip. Upon safe arrival, the borrower had a few weeks to sell the cargo and to repay the loan. If the borrower did not want to repay

Modern cargoes valued around Euro 100 million are quite common:

- 2 million barrels of oil at 50 \$/barrel (on a 300 000 ton VLCC, around 350 m long),
- 5000 cars at 20 000 €/car (on a 180 m car carrier),
- 1500 TEU average value (on a 180 m container ship).

These cargoes are usually owned by one single company, but container-contents are often owned by a large number of people.

A modern company able to send over 5000 cars from say Japan to Europe is not an individual, but a multinational-size company which might be compared to a 'Roman billionaire'. The same can be said for oil companies having oil or gas moved from the Gulf to Europe or Japan. The case of containers is slightly different as their content is owned by a multitude of individuals. However, the total value of the ship at sea is covered by an insurance company that may have to refund amounts of many hundreds of million Euros in case of a total loss: the largest container ships moving up to nearly 20 000 TEU, may be valued up to one billion Euros, in 2016. The material cost of the Costa Concordia disaster was even more than that.



MOL Comfort (316 m long) broken in two parts during a storm in the Indian Ocean and sunk in June 2013 (picture http://www.meretmarine.com).

¹⁶ Casson (1990), for a complete translation into English. The papyrus is presently housed in the Austrian National Library in Vienna.

¹⁷ De Romanis (2012), for a brilliant reconstruction of the cargo on board the Hermapollon. See also his 2014 conference: http://www.college-de-france.fr/site/jean-pierre-brun/seminar-2014-12-09-10h00.htm

¹⁸ 1 Roman sesterce = 6.5 €, based on the fairly low annual salary of a 1st century soldier or worker of 1000 sesterces/year (i.e. one denarius = 4 sesterces = 16 asses per day, acc. to Tacitus, Annals, I, 17, and on 250 days/year), compared to the French lowest revenue (RSA) of 6 420 €/year in 2016 for a single man. See also: <u>https://web.archive.org/web/20130210071801/http://dougsmith.ancients.info/worth.html</u>
¹⁹ Pliny, NH, 33, 47

²⁰ https://en.wikisource.org/wiki/1911 Encyclop%C3%A6dia Britannica/Bottomry

the loan, the lender was entitled to seize the ship and/or its cargo²¹.



Kyrenia II (3rd c. BC) sailing in storm on the Aegean Sea in 1987²² (picture in Tzalas, 2007).

This leads us to analyse maritime accidents and shipwrecks that are known today²³:

- 500 BC 500 AD: 1500 shipwrecks, or ca. 1.5 shipwrecks/year,
- 150 BC 150 AD: 800 shipwrecks, or ca. 2.7 shipwrecks/year.

The number of shipwrecks for both periods is obviously approximate as dating of shipwrecks is often uncertain. Furthermore, many shipwrecks may still be unknown. The numbers are surprisingly close to modern numbers, but the number of ships sailing was probably over 10 times smaller than today, as we will see hereafter. Perhaps showing that ancient sailors were somewhat more 'wreck'less than modern sailors ...

6. Ships and Sailing

Ancient

Ships were usually associated with a city of origin, like 'a ship of Alexandria' in the Acts of the Apostles. It is hard to give numbers, but an estimated 1000-2000 ships were providing food for Rome²⁷. Hence, the total number of sizable ships trading on the Mediterranean, Red Sea and Indian Ocean must have been several thousands. Ships are still lost at sea. Although the truth never is totally clear, the MOL Comfort was probably broken by wave action due to the combined effect of some structural weaknesses and of some possible excessive load concentrations on board²⁴. Something similar happened in 2007 to the 275 m long MSC Napoli container ship.

A recent study shows that in the past 150 years, an average of one major accident with loss of lives occurred each year²⁵, but this is increasing²⁶:

- 18th c.: 0.3 shipwrecks/year with 142 deaths/yr,
- 19th c.: 1.1 shipwrecks/year with 323 deaths/yr,
- 20th c.: 1.4 shipwrecks/year with 475 deaths/yr,
- 21st c.: 3.5 shipwrecks/year with 755 deaths/yr.

This increase of shipwrecks is mainly due to increased seafaring. The increasing death toll in the 21st is mainly because of ferries in SE Asia and migrant boats in the Mediterranean Sea. These figures should obviously be further analysed.

Modern

Ships are officially registered in their 'flag state', e.g. 17% of the world fleet of over 50 000 ships larger than 500 tons is registered in Panama or in Liberia (in 2014)³². The flag state is supposed to enforce international minimum social standards, safety and environmental, and other international recommendations, on its vessels. Around 20 000 ships are involved in inter-regional trade by means of 'deep

²¹ These aspects are quite clearly mentioned in the Muziris Papyrus.

²² http://kyrenia-collection.org/resources/PDF Files/Great-Moments-Tzalas-reduced.pdf

²³ Strauss (2013): Andrew Wilson's Shipwreck Database:

http://oxrep.classics.ox.ac.uk/databases/shipwrecks_database/

²⁴ <u>http://www.meretmarine.com/fr/content/mol-comfort-la-rupture-de-la-poutre-navire-lorigine-de-laccident</u>

²⁵ Elinder & Erixson (2012): 152 major accidents between 1854 and 2006 are recorded in The World Almanac and Book of Facts 2008.

²⁶ <u>https://en.wikipedia.org/wiki/List of maritime disasters</u>

²⁷ Arnaud (2016).

³² http://www.emsa.europa.eu/implementation-tasks/equasis-a-statistics/download/3640/472/23.html

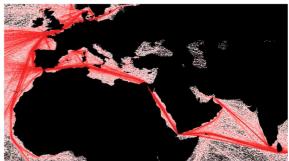
Ship sizes have increased between say 300 BC and 300 AD, from say 20-40 tons (for the Kyrenia) to 600 -1200 tons (for the Hermapollon and the Isis), that is a factor 30 over 600 years. The largest freighter known in Antiquity (the Syracusia) was built by Hieron of Syracusa with help of Archimedes, and described by Moschion (possibly ca. 2000 tons)²⁸ did probably not sail many trips.

According to Pliny (around 75 AD), Indian imports seem to have been sold in Rome for 50 million sesterces per year (and bought in India one hundredfold less)²⁹ This is only 5 times the value (in Rome) of the Hermapollon cargo (around 150 AD). Hence, a small number of these large ships could do the job.

According to Strabo (around 25 BC, one century before Pliny), 120 ships were leaving every year from Myos Hormos to India³⁰. They must therefore have been much smaller than the Hermapollon. De Romanis explains that, between 25 BC and 75 AD, the India trade possibly shifted from Myos Hormos to Berenike while the ships' size increased³¹.

sea shipping' from one 'hub' to another. Smaller ships are involved with 'short sea shipping' distributing cargo within a region³³.

The first true container ship (Japanese shipowner NYK) sailed as from 1968 and could carry 752 TEU containers³⁴, but the size of container ships increased rapidly up to nearly 20 000 TEU in 2016, that is a factor of nearly 30 over nearly 50 years. The same factor 30 is found over 50 years in modern times as over 600 years in ancient times. This can perhaps be seen as another sign of 'acceleration of History'.



Present-day merchant shipping routes in the Mediterranean Sea and Indian Ocean (Wikipedia).

²⁸ Casson (1971), pp 184-189.

²⁹ Pliny, NH, 6, 26, 6 (around 75 AD): « nullo anno minus HS· $|\overline{D}|$ imperii nostri exhauriente India et merces remittente, quae apud nos centiplicato veneant. ». NB: the number is $|\overline{D}| = 500 \times 100\ 000 = 50\ 000\ 000$, as explained in Pliny, NH, 33, 47: « Non erat apud antiquos numerus ultra centum milia; itaque et hodie multiplicantur haec, ut decies centena aut saepius dicantur » explaining that the Romans did not have numbers above 100 000 and therefore used multiples of 100 000 by putting vertical bars on each side, and one horizontal bar above the multiple. Pliny, NH, 12, 41, 2: « verum Arabiae etiamnum felicius mare est; ex illo namque margaritas mittit. minimaque computatione miliens centena milia sestertium annis omnibus India et Seres et paeninsula illa imperio nostro adimunt ». NB: the number here is 1000 times 100 000 = 100 000 000. If the factor 100 between cost price and selling price applies here too, then the pearls have been bought in India and China for 1 million and sold in Rome for 100 million sesterces. Good business, as transportation costs were low for pearls ... and smuggling easy. ³⁰ Strabo, Geogr., 2, 5, 12 (around 25 BC).

³¹ De Romanis (2015).

³³ Sportford (2003), pp 8-9.

³⁴ <u>https://de.wikipedia.org/wiki/Hakone Maru</u>



Main ancient Mediterranean sailing routes.

At first glance, present-day Mediterranean shipping routes seem to be not that different from ancient times! But traffic from China to Europe via the Suez Canal and oil & gas from the Gulf are completely new trades.

To understand ancient sailing routes³⁵, wind patterns must be analysed, assuming that the meteorological sailing conditions are fairly unchanged over the past few millennia. The prevailing wind direction almost everywhere on the Mediterranean Sea is NW (note that 'prevailing' usually means 'over 50% of time', but not 100%!).

In addition, a *constant* wind direction is required for long-haul offshore sailing. This is typically the case from Sicily to Alexandria in summer time, but other prevailing wind directions may exist locally, e.g. North on the Aegean Sea, North and NE on the Black Sea and East along the coasts of Algeria. Obviously, some finer analysis is needed to find a way back to Rome from Alexandria. This trip is achieved by using sea breezes blowing in the afternoon from the sea to the land³⁶. These winds are best felt within a few miles off the coast. They blow more or less perpendicular to the coast, but may locally reach an angle of 45° or even be parallel to the coast. So here is the conclusion:

Going East can be achieved by long-haul offshore sailing, and going West must be done by coastal navigation.

The trip to Alexandria is therefore much faster than the trip to Rome as it is not only longer in distance, but it also involves much waiting for favourable wind conditions: say one or two weeks, versus one or two months and more³⁷.

The trip from Alexandria to Rome goes North along the Levantine coast, then West along the Turkish coast to Rhodes, then through the Aegean. The Aegean Sea is famous for its northern wind called Meltemi which makes its East-West crossing a subtle operation using local winds around the islands³⁸. The route through the Aegean Sea is still a matter of debate, some favour the northern route, but those not going to Athens prefer the southern route avoiding the dangerous Cape Maleas. West of the Peloponnesus, the Ionian Sea with prevailing NW winds must be crossed, probably by following the Greek coast to Corfu before crossing over to Calabria and to the Messina Strait.

³⁵ Arnaud (2005).

³⁶ Rod Heikell (2012), Chap 6, pp 312-313.

³⁷ Arnaud (2005), Reddé (2005) & Pliny, NH, 19, 1, 3 & Philo of Alexandria, in Flaccum, 26-27.

³⁸ E.g. Stadiasmus, 273, 280, 281, 282 and 283.



Struggling with 25 knot Meltemi near Delos (A. de Graauw, 2015).

The Western Mediterranean is subjected to low pressures travelling from West to East and inducing a counter-clockwise wind pattern. Hence, on the French South coast, the wind will blow from South to East first, then turn to North to NW, generating the famous Mistral and Tramontana. This explains that it can be difficult to sail from Marseille to Cabo de Creus and that this must be done close to the coast to avoid high offshore waves induced by the Tramontana. The trip back may lead through the Baleares and Sardinia, where the westerlies will prevail, then along the western coasts of Sardinia and Corsica where a southern wind may blow. Those going to Rome will take the dangerous Straits of Bonifacio between Sardinia and Corsica.

The coast of North Africa is prone to summer easterlies between Cap Bon and Oran, but has a lack of wind between Oran and Gibraltar ... in addition to adverse east-going surface currents of Atlantic water compensating the Mediterranean evaporation. The Tunisian Golfe de Gabes and Libyan Gulf of Syrt have a tidal range up to 1 m inducing tidal currents that can be used by sailors in both directions. The summer winds may blow from North to East.

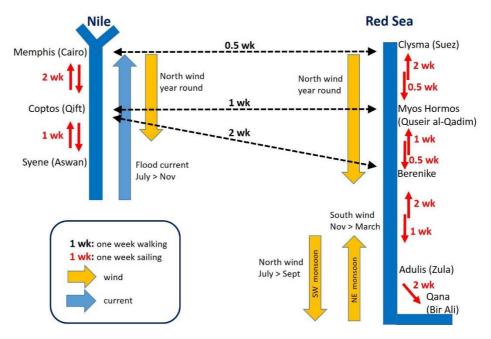
The access to the Black Sea is very difficult because of the strong southward surface current of fresh water flowing towards the Mediterranean Sea, in addition to NE winds. Inside the Black Sea, currents flow counter-clockwise and favour a trip to the East along the Turkish coast, before crossing over to Crimea against prevailing winds. Nevertheless, ancient seafarers are known to have sailed massively along the western Black Sea coast to Crimea and to the Azov Sea, possibly because this trip was free of pirates.

On the Red Sea, the wind is blowing from North in its northern part (say North of Port Sudan at 20° latitude) and the Red Sea Pilot states that "you should not count on any South winds from Ras Banas northwards" (Berenike at 24° latitude). The southern Red Sea has seasonal variations due to the monsoon regime and winds can be strong in the Straits of Bab el-Mandeb. In the Nile valley, the wind is blowing from North against the current most of the time and the Nile delta is subject to seasonal variations with its famous summer northerlies. Ancient ships therefore had *three options* when sailing back to Memphis (Cairo) and Alexandria: either struggling against the wind on the Red Sea until Arsinoe/Clysma (Suez); either unload at Berenike (near Ras Banas) or Myos Hormos (Quseir al-Qadim) and cross the western desert of Egypt until Coptos (Qift) to sail down the Nile; either unload at Leuké Komé (possibly Sharm al-Wajh, acc. to Nehmé³⁹) or at

³⁹ Laila Nehmé, see her 2014 conference at College de France: <u>http://www.college-de-france.fr/site/jean-pierre-</u> brun/seminar-2014-11-25-10h00.htm

Charmotas (probably Sharm Yanbu⁴⁰) and go overland with Nabataean carriers until Petra and Gaza. Another option was sailing to Charax Spasinou (Jebel Khayabir, about 50 km North of Basra), via the Gulf, in order to reach the Mediterranean coast near Palmyra, but with lots of NW winds also.

Some of this is summarised below.



Physical conditions and journey times on the Red Sea and on the Nile⁴¹.

It has hopefully been made clear in this brief survey of Mediterranean and Red Sea sailing that it was (still is) a vast and complicated subject that requires a lot of experience⁴².

History shows that Mycenaeans (ca. 1500-1200 BC), Phoenicians (ca 1200-150 BC) and Greeks (ca. 800-300 BC) were very good at sailing. Mycenaean sailors had a very difficult playground in the Aegean Sea. Perhaps their experience was later taken over by Phoenicians who used it to travel all over the Mediterranean Sea and beyond. The need for many shelters follows from the fact that sailors may need to wait for proper wind conditions or may try to escape bad weather conditions. Even though they can sail 50 to 100 nautical miles in a day, it is important the *Gubernator* knows where to find a safe shelter within two to three hours of navigation, i.e. only approx. 10 miles⁴³.

But let's go back to our 'Ancient' and 'Modern' comparisons.

<u>Ancient</u>

The picture below shows that a ship with a square sailing rig can sail to windward reaching an angle to the wind direction of around 60°, just like a modern sailing boat would do under spinnaker at beam reach.

<u>Modern</u>

⁴⁰ Sharm Yanbu fits closely Diodorus' (Hist, 3, 44) description:

> the total circumference is 23 km (close to his 100 stades);

> the central island might be now connected to the mainland on the NE side where siltation occurred over time, near the outlet of the wadi ;

> the total area might have been between 2000 and 3000 ha (ample space for his 2000 ships);

> the entrance is 300 m wide (more than his 200 feet = 60 m) but this depends much on coral growth which may have varied in time and with urbanisation.

⁴¹ Cooper (2011) was used as a basis for this picture.

⁴² Should you read only one book on sailing, take Henry de Monfreid's « Secrets of the Red Sea » ...

⁴³ De Graauw (2017). See also his catalogue of ancient ports on <u>www.AncientPortsAntiques.com</u>.



Kyrenia II (3rd c. BC) sailing at close reach with a square sail on the Aegean Sea in 1987⁴⁴ (picture Pomey, 1997).

This was a must to sail on the Mediterranean Sea and on the Red Sea from East to West, but it surely was (and still is) not a comfortable trip ...



Kelenderis 5th c. AD mosaic discovered by Levent Zoroglu in 1992, showing a ship with partially brailed sail with the top yard pointing down to the bow, making the rig look like a lateen rig (picture Levent Zoroglu). Note that although the harbour city is depicted, the ship is sailing in rough seas with many waves. In this picture, you can almost feel the rough sailing conditions at close reach with a brailed sail. Such a picture of a sailing ship in full action is very rare as artists never had an opportunity to see this from the shore.

From a sailor's point of view, it was worth trying to reduce the length of sail-cloth susceptible of sagging on the luff side.



Modern sailing boat nearly flying under spinnaker at beam reach; compare with kite surfing: rather sportive! (picture http://www.hotel-r.net).



Modern feluka on the Nile (picture http://www.guidelouxor.com).

Container ships are not sailing ships; they are propelled by engine(s) totalling around 100 000 HP (say 1000 small cars!). However, they can be 50 m high leading to a windage of 15 to 20 000 m² and this is now becoming a limit for manoeuvring them in winds over 35 knots (65 km/h, or wind force 8 Beaufort). Consequently, modern ships are sometimes told to stay outside the port in order not to take any risk when entering the port under unfavourable conditions. This is financially unattractive as modern ships are on tight schedules and as 'time is money'.

It may be noted that similar problems occur with modern cruise ships, with car carriers and LNG tankers, but not with loaded oil tankers.

⁴⁴ <u>http://kyrenia-collection.org/styled-4/styled-7/index.html</u>

This sail setting probably led to the triangular shape of the lateen/settee rig pointing into the wind. Furthermore, the lateen sail consisted of less components than the square sail, but it required more crew to be handled⁴⁵. The square rig and the lateen rig obviously had pros and cons and mariners made their own choices. Whitewright (2011) shows that the lateen rigs performed only very slightly better to windward than square sails and *this explains why both coexisted for many centuries*.

Sailors are not conservative at all when it comes to sail settings and they may very well have used the triangular setting of the square sail for many centuries before the Kelenderis mosaic picture.



Cruise ship Queen Mary 2.



Car carrier Tonsberg.



Mozaic at Piazzale delle Corporazioni, Statio 46 (from <u>www.ostia-antica.org</u>).



Q-Max LNG tanker Shagra



VLCC Oil tanker Front Shanghai.

7. Return cargo

<u>Ancient</u>

The impressive Monte Testaccio dump in Rome contains over 50 million amphorae, mainly Spanish and North African Dressel 20 olive oil amphorae. Perhaps these amphorae were too fatty and the smell of

Modern

You will probably never see an empty container ship, except on her first sea trials. This is because containers are reused a number of times. This means that they are filled with goods on a trip e.g.

⁴⁵ Whitewright (2011), See also his 2008 PhD thesis at Southampton University.

rancid oil would prevent any other use so that they would have to be disposed of. In addition, these foreign amphorae with a different shape may have been of no value to Roman merchants. Surprisingly, wine amphorae have not been dumped in such large numbers and one might think they have been reused, at least in Republican times when they were exported to Gaul, before the Gauls learned how to make their own wine Other Italian products such as luxury clothes, glass ware, tiles, may have been exported too, but the volumes were probably much smaller than the imported volumes of wheat and exotic goods. As a matter of fact, the main Roman export was gold and silver bullion used for payment of imported goods!46 There is also a hypothetical export of pozzolana needed for building large submerged structures. Hohlfelder estimates that 20 000 tons of pozzolana might have been imported for the Caesarea Maritima breakwaters. Pozzolana was also found in the Madrague de Giens shipwreck for stabilising amphorae⁴⁷. In any case, ballast of some kind was required as without ballast a sailing boat would capsize immediately! Many humps of ballast stones coming from all over the Mediterranean area have been found in ancient ports.

from China to Europe, and mostly empty when they travel back to China. The container ship will look fairly similar on both trips, except for her draught.

Note that the problem with oil tankers is even worse as they never have return cargo and simply use sea water for their ballasting tanks.

This problem of return cargo is limited to freight liners like nearly all container ships and many oil tankers. However, tramp ships are free to sail to any place without any time schedule48. Typically, some Ro-Ro ships are used as tramps as their 'Roll-on/Roll-off' capability provides them great flexibility to load and unload wheeled cargo anywhere (trailers, Mafis). A special market (the Baltic Exchange in London) puts together people who need cargo to be shipped and those who have ships (brokers, charterers, shipowners). Needless to say, this is a very speculative occupation where fortunes can be made and lost quickly.

8. Roman economy

Compared to our modern economies, the Roman imperial state had little input in the economy. The emperor had to maintain *Pax Romana* to keep trade ongoing. This was achieved by the army at the empire's frontiers, and by feeding Rome's plebs nearly for free with the Annona system. This was paid for by provincial tribute, mining for bullion and import taxes (*tetarte*) taken on goods entering the empire⁴⁹. Hence, warlords like Julius Cesar under the Republican era were replaced by trade billionaires under the empire.

<u>Ancient</u>

The empire's population was around 50 million people. The annual imperial state budget was around 1100 million sesterces per year, as follows⁵⁰: Expenditure:

<u>Modern</u>

Europe's population is around 500 million people (28 EU countries). The European armies encompass around 2 million people, i.e. 0.4% of the European population (for 2008-2009, and still reducing).

⁴⁶ Pliny, NH, 12, 14, 4 & NH, 37, 77, 4, inducing that renewable pepper and ginger was paid with non-renewable gold and silver bullion, acc. to McLaughlin's interpretation.

⁴⁷ Brandon et al. (2014), p 224-225.
⁴⁸ https://en.wikipedia.org/wiki/Tramp_trade

⁴⁹ Bang (2007).

⁵⁰ McLaughlin (2014), p x for the Roman state spending, and pp 226-231 for an overview of Roman economy figures.

- Army: 650 to 700 million sesterces, for 25 to 30 legions & auxiliaries & navy & praetorian guard, totalling around 300 000 men (0.6% of the population),
- Others: around 250 million sesterces, for buildings, civil servants, imperial household.

Revenues:

- Bullion: 150 to 200 million sesterces, from gold and silver mines in Spain, Dalmatia, Dacia,
- Tribute: 150 to 350 sesterces from 40 provinces, plus 300 to 600 million from Egypt alone,
- Import taxes: 25 to 250 million sesterces collected mainly at Gaza and Alexandria⁵¹.

The Roman imperial budget was thus around 1 billion sesterces, that is 0.02 annual soldier's pay per inhabitant (1000 sesterces/year for 50 million inhabitants), and nearly half of it coming from Egypt⁵². Defence was taking up to 70% of the budget.

Alexandria became 'the greatest emporium in the inhabited world'⁵³ were goods were transferred from Nile boats onto sea-going ships sailing to i.a. Rome. Many goods were also processed in Alexandria (producing cotton and silk clothes, perfumes). Alexandria was a nodal point of the Roman economy. The French annual state budget is around 450 billion € (excluding Social Security & Health Care), that is 1 French RSA⁵⁴ per inhabitant par year. The US federal budget is around 2000 billion \$ (excluding Social Security & Health Care), leading to a similar figure per inhabitant. Our modern state budgets per inhabitant are 50 times larger than the Roman imperial budget, showing that our modern states are involved not only in defence (10% in France, 30% in USA), but in a much wider scope of activities, including education & research (22% in France).

Modern nodal points as mentioned by Attali (1984):

- Bruges, with wide use of a sternpostmounted rudder on their ships,
- Venice, with a new type of ship, the caravel,
- Antwerp, with introduction of printing,
- Genoa, with new accounting methods,
- Amsterdam, with the first machines,
- London, with the steam engine,
- Boston, with the automobile,
- New York, with introduction of electricity.

... and the ongoing digital revolution must probably be located in California. Note that these cities are all ports ... Attali's conclusion is "Telle est la politique qu'il faut mettre en œuvre: produire l'offre par le progrès technique, et la demande par la culture"⁵⁵

9. Conclusions

This paper aims at comparing ancient and modern chains of logistics.

- Many aspects of shipping have not really changed since Antiquity:
 - Loading and unloading a ship has always required a lot of man power;
 - Stowing and fastening cargo on board always required a lot of care;
 - A specific group of ship owners, agents, traders, custom officers has always been involved;
 - Sailing ships is a risky activity requiring much experience to safely use wind forces and avoid storms.
- Ancient maritime traffic was carried out with thousands of ships, the largest with a cargo capacity of several hundred tons, like the 400 ton Madrague de Giens (40 x 9

⁵¹ McLaughlin's estimate of one billion sesterces imports from the India trade (p 94) might be somewhat overestimated and could be a factor 20 lower acc. to Pliny, NH, 6, 26 (50 million sesterces).

⁵² Why was Egypt so rich?! Probably because it already had 3000 years of development and therefore had a very well organised state.

⁵³ Strabo, Geogr. 17, 1, 13

⁵⁴ RSA stands for "Revenu de Solidarité Active" and is the lowest French revenue for a single man: 535 €/month or 6420 €/year in 2016.

⁵⁵ "This is the policy to be implemented: generate supply by technological progress, and demand by culture."

m, draught around 3.5 m) and the 600 ton Hermapollon mentioned in the Muziris Papyrus, and up to the 1200 ton Isis described by Lucian of Samosate (55 x 14 m, draught around 4.5 m). As noticed by De Romanis, similar volumes of cargo will not be back on the seas before the 16th century. Today's largest ships carry cargo values like that carried by the Hermapollon, i.e. in the order of 100 million Euros and up to 1 billion Euros, but the ship length has increased a lot from 40-50 m to 300-400 m.

- The speed of maritime logistics drastically increased:
 - On shore, slaves are now replaced by machines: no mistakes, no rebellions, no corruption;
 - Fluxes of goods have been improved: safer, faster, and on time, thanks to meteorological forecasting;
 - Fluxes of information have been improved: more detailed, much faster, reliable delivery;
 - Fluxes of money have been improved: safer, faster (demonetisation). This is perhaps the most important improvement.
- As a nodal point of ancient maritime trade, Alexandria was the "greatest emporium of the world":
 - Goods were imported from South Arabia, East Africa and India, they were paid for with gold and silver bullion. They were taxed 25% by the Roman state, hereby providing a substantial part of the total income of the Roman state;
 - Some goods were transformed and manufactured in Alexandria, such as perfumes and dyed silk, hereby adding much value to the imported goods;
 - Goods were exported to Rome and other cities of the empire, not only exotic spices and goods from beyond the Red Sea, but also vast quantities of grain produced in Egypt.

Several other nodal points existed at different times in Antiquity: Byzance, Athens, Puteoli, Massalia, Arelate, Narbo, Tarraco, Gades, Carthage, and perhaps Gaza if it was more than a place of transit like Myos Hormos and Berenike. In addition to Indian places like Muziris (unlocated near Cochin), a lesser known place like Omana (possibly located at al-Dur, ed-Dur, in Umm al-Quwain Emirate) should be mentioned here too in order not to under-estimate ancient traffic in the Gulf.

Today, we have several major nodal points for maritime traffic (in China, in Japan, in Europe and on both US coasts) and main streams of goods are in containers from China to Europe, USA and Japan. Goods to China are in bulk (oil, coal, iron ore).

Most of our modern maritime logistics were already in use more than 2000 years ago! But ...

In ancient times, today's "time is money" was less important than "have a safe trip back home".

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11. Bios

Arthur de Graauw is a French/Dutch coastal engineer employed by a French Consulting firm, <u>SOGREAH</u>(now <u>ARTELIA</u>) until the end of 2015.

He graduated from Delft University of Technology in 1976 in civil engineering of coastal structures and areas. He has used many hydraulic scale models and mathematical models in his work. He worked on numerous projects related to coastal erosion, industrial ports and marinas in the Mediterranean area including Lebanon, Gaza, Egypt, Libya, Tunisia and France.

From 2002 to 2015 he managed the <u>Port Revel</u> ship handling training centre using manned models.

He has been active in ancient ports since 2000 and created a new catalogue of ancient ports encompassing over 4000 places. He is the webmaster of <u>www.AncientPortsAntiques.com</u> focusing on many technical aspects of ancient ports.

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